

**CÔNG TY CỔ PHẦN
BAC HA HYDROPOWER
THUỶ ĐIỆN BẮC HÀ
JOINT STOCK COMPANY**

**CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM
SOCIALIST REPUBLIC OF VIETNAM
Độc lập - Tự do - Hạnh phúc
Independence - Freedom - Happiness**

Số: 507/2026/CBTT-BHHC-TCHC
No. 507/2026/CBTT-BHHC-TCHC

Lào Cai, ngày 14 tháng 07 năm 2026
Lao Cai, date 14 month 07 year 2026

CÔNG BỐ THÔNG TIN INFORMATION DISCLOSURE

Kính gửi: - Sở giao dịch Chứng khoán Hà Nội
To. Hanoi Stock Exchange

Công ty: **CÔNG TY CỔ PHẦN THUỶ ĐIỆN BẮC HÀ**
Company: *BAC HA HYDROELECTRICITY JOINT STOCK COMPANY*

Địa chỉ: Thôn Lùng Xa, xã Bảo Nhai, tỉnh Lào Cai.
Address: *Lung Xa Village, Bao Nhai Commune, Lao Cai Province.*

Điện thoại: 0214 6294668
Phone: *0214 6294668*

Người thực hiện công bố thông tin: Ông Nguyễn Thành Hưng
Person making the information disclosure: *Mr. Nguyen Thanh Hung*

Địa chỉ: Thôn Lùng Xa, xã Bảo Nhai, tỉnh Lào Cai.
Address: *Lung Xa Village, Bao Nhai Commune, Lao Cai Province.*

Điện thoại: 0989000303
Phone: *0989000303*

Loại công bố thông tin:

Type of information disclosure:

☐	24 giờ	☐	Bất thường	☐	Theo yêu cầu	☒	Định kỳ
	24 hours		Abnormal		As required		Periodically

Nội dung công bố thông tin:

Information to be disclosed:

Báo cáo quản trị công ty bán niên năm 2026.
Semi-annual corporate governance report for 2026.

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty cổ phần thủy điện Bắc Hà ngày 14/07/2026 tại đường dẫn: <https://thuydienbacha.vn>.

This information was published on the website of Bac Ha Hydropower Joint Stock Company date 14 month 07 year 2026 at the link: <https://thuydienbacha.vn>.

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We commit that the information disclosed above is true and take full responsibility before the law for the content of the published information.

Nơi nhận:

Recipients:

- Như kính gửi;
- As sent ;
- VP HĐQT;
- Board of Directors Office;
- Lưu: TCHC.
- Save: TCHC.



**NGƯỜI ĐẠI DIỆN PHÁP LUẬT
LEGAL REPRESENTATIVE
TỔNG GIÁM ĐỐC
CHIEF EXECUTIVE OFFICER**



**Nguyễn Thành Hưng
Nguyen Thanh Hung**

**REPORT ON CORPORATE GOVERNANCE
The first 6 months of 2026**

To: - State Securities Commission
- Hanoi Stock Exchange

- Company name: Bac Ha Hydropower Joint Stock Company - BHHC
- Head Office Address: Village Lung Xa, Commune Bao Nhai, Province Lao Cai
- Telephone: 0214 6294 668
- Email: nhamaythuydienbacha@gmail.com
- Charter capital: VND 660,000,000,000
- Stock code: BHA
- Corporate governance model: General Meeting of Shareholders, Board of Directors, Supervisory Board and General Director
- Internal audit function implementation: Implemented

I. Activities of the General Meeting of Shareholders

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders adopted by written ballot):

STT	Resolution/Decision No.	Date	Content
1	274/2026/NQ-BHHC-GMS	16/4/2026	Resolution of the 2026 Annual General Meeting of Shareholders

II. Board of Directors:

1. Information on Board of Directors (BOD) members:

STT	BOD Member	Position	Date of becoming/ceasing to be a BOD member	
			Date Appointment	Date Dismissal
1	Mr. Dong Quoc Cuong	Chairman of the BOD	16/04/2026	
2	Mr. Phan Thanh Hai	Vice Chairman of the BOD	16/04/2026	
3	Mr. Nguyen Canh Son	BOD Member	16/04/2026	

4	Mr. Nguyen Danh Quan	BOD Member	16/04/2026	
5	Mr. Nguyen Thanh Hung	BOD Member	16/04/2026	

2. BOD Meetings:

STT	BOD Member	No. of BOD Meetings	Attendance Rate	Reason for Non-attendance
1	Mr. Dong Quoc Cuong	3	100%	
2	Mr. Phan Thanh Hai	3	100%	
3	Mr. Nguyen Canh Son	3	100%	
4	Mr. Nguyen Danh Quan	3	100%	
5	Mr. Nguyen Thanh Hung	3	100%	

3. BOD's supervisory activities over the Executive Management:

During the first 6 months of 2026 of BHHC held 3 meetings and conducted written ballot consultations on matters related to plant operations, issuing multiple Nghi / QResolutions/Decisions to carry out the BOD's duties.

The BOD's responsibilities included: supervising the Executive Management's operations; directing and urging the Executive Management to implement resolutions of the GMS and the BOD; meeting and communicating via email and telephone with the Executive Management to ensure compliance with the Charter, Regulations, and relevant legal provisions.

4. Activities of sub-committees under the Board of Directors:

The Company has no sub-committees under the BOD.

5. Resolutions/Decisions of the Board of Directors: During the first 6 months of 2026, the Company's BOD issued the following Nghi / QResolutions/Decisions:

No.	Resolution/Decision No.	Date	Content	Approval Rate
January				
1	47/2026/NQ-BHHC-BOD	16/1/2026	Resolution on Approval of bidding documents and contractor selection method for the package: Purchase of circuit breaker actuators	100%
2	49/2026/NQ-BHHC-BOD	16/1/2026	Resolution on Approval of bidding documents and contractor selection method for the package: Consulting services for traffic connection permit application for Bac Ha Hydropower Project	100%

3	63/2026/NQ-BHHC-BOD	21/1/2026	Resolution of the Board of Directors meeting on 21/01/2026	100%
4	64 /2026/NQ-BHHC-BOD	21/1/2026	Resolution on Establishment of the Organizing Committee for the 2026 Annual General Meeting of Shareholders of Bac Ha Hydropower JSC	100%
5	65/2026/NQ-BHHC-BOD	21/1/2026	Resolution on Approval of the plan to organize study tours and professional development for employees in 2026	100%
6	66 /2026/NQ-BHHC-BOD	21/1/2026	Resolution on Approval of the supplementary salary fund allocation plan for Q1 2026 for employees working directly at Bac Ha Hydropower JSC	100%
7	67/2026/NQ-BHHC-BOD	21/1/2026	Resolution on Establishment of the Shareholder Qualification Verification Committee for the 2026 Annual GMS of Bac Ha Hydropower JSC	100%
8	68 /2026/NQ-BHHC-BOD	21/1/2026	Resolution on Approval of Salary and Salary-related Payment Regulations at Bac Ha Hydropower JSC	100%
9	69 /2026/NQ-BHHC-BOD	21/1/2026	Resolution on Approval of the tentative date for the 2026 Annual General Meeting of Shareholders	100%
10	71/2026/QD-BHHC-BOD	21/1/2026	Decision on Amendment and supplementation of certain articles of Decision No. 53/2022/QD-BHHC-HDQT dated 24/02/2022 of the BOD on Internal Expenditure Regulations applicable at Bac Ha Hydropower JSC	100%
11	72/2026/QD-BHHC-BOD	21/1/2026	Decision on Amendment and supplementation of certain articles of Decision No. 55/2022/QD-BHHC-HDQT dated 24/02/2022 of the BOD on Financial	100%

			Management Regulations applicable at Bac Ha Hydropower JSC	
12	73/2026/QD-BHHC-BOD	21/1/2026	Decision on Amendment and supplementation of certain articles of Decision No. 56/2022/QD-BHHC-BOD dated 24/02/2022 of the BOD on the Collective Labor Agreement applicable at Bac Ha Hydropower JSC	100%
13	82/2026/NQ-BHHC-BOD	23/1/2026	Resolution on Approval of bidding documents and contractor selection method for the package: Repair and maintenance of Power Transformers T1, T2 - 63,000kVA - 230kV	100%
March				
14	183/2026/NQ-BHHC-BOD	12/3/2026	Resolution on Approval of contractor selection results for the package: Purchase of circuit breaker actuators	100%
15	184/2026/NQ-BHHC-BOD	12/3/2026	Resolution on Approval of contractor selection results for the package: Maintenance of 220kV transmission line of Bac Ha Hydropower Plant for 2026	100%
16	185/2026/NQ-BHHC-BOD	12/3/2026	Resolution on Approval of contractor selection results for the package: Provision of inflow forecast bulletins and reserwifeir water level rise projections for Bac Ha Hydropower	100%
17	186/2026/NQ-BHHC-BOD	12/3/2026	Resolution on Approval of contractor selection results for the package: Repair and maintenance of Power Transformers T1, T2 – 63000kVA-230kV	100%
18	213/2026/NQ-BHHC-BOD	20/3/2026	Resolution on Approval of technical proposal evaluation results for the package: Consulting services for traffic connection permit	100%

			application for Bac Ha Hydropower Project	
19	225 /2026/NQ-BHHC-BOD	23/3/2026	Resolution on Approval of the schedule, venue and content of proposals and reports submitted to the 2026 Annual GMS	100%
20	237/2026/NQ-BHHC-BOD	30/3/2026	Decision on Approval of selection results for contractor for package: Study tours and professional development development for employees 2026	100%
April				
21	259/2026/NQ-BHHC-BOD	13/4/2026	Resolution on Approval of contractor selection results for the package: Consulting services for traffic connection permit application for Bac Ha Hydropower Project	100%
22	275/2026/NQ-BHHC-BOD	16/4/2026	Resolution of the Board of Directors meeting on 16/04/2026	100%
23	276/2026/NQ-BHHC-BOD	16/4/2026	Resolution on Appointment of Mr. Dong Quoc Cuong as Chairman of the Board of Directors	100%
24	277/2026/NQ-BHHC-BOD	16/4/2026	Resolution on Appointment of Vice Chairman of the Board of Directors Mr. Phan Thanh Hai	100%
25	278/2026/NQ-BHHC-BOD	16/4/2026	Resolution on Appointment of Mr. Nguyen Thanh Hung as General Director of the Company	100%
26	279/2026/NQ-BHHC-BOD	16/4/2026	Resolution on Appointment of Mr. Nguyen Van Ha as Corporate Governance Officer cum Company Secretary	100%
27	280/2026/NQ-BHHC-SB	16/4/2026	Resolution of the Supervisory Board meeting on 16/04/2026 electing Mr. Ha Van Hieu as Head of the Supervisory Board	100%

28	299/2026/NQ-BHHC-BOD	22/4/2026	Decision on Approval of the supplementary salary plan for Q1 2026 for the BOD, Supervisory Board and employees working directly at Bac Ha Hydropower JSC	100%
29	303/2026/NQ-BHHC-BOD	29/4/2026	Resolution on Approval of bidding documents and contractor selection method for the package: Insurance for Bac Ha Hydropower Plant 2026	100%
Thang 5				
30	323/2026/NQ-BHHC-BOD	06/5/2026	Resolution of the Board of Directors meeting on 06-05-2026	100%
31	324/2026/NQ-BHHC-BOD	06/5/2026	Resolution on Assignment of duties to Board of Directors members for the 2026-2031 term	100%
32	325/2026/NQ-BHHC-BOD	06/5/2026	Resolution on Approval of the bonus plan for the BOD, Supervisory Board and Executive Management pursuant to the approved 2026 Annual GMS Resolution	100%
33	334/2026/NQ-BHHC-BOD	15/5/2026	Resolution on Approval of construction drawings, cost estimates and contractor selection method for the item: Remediation of landslide on operating road VH1 and flow diversion wall after Typhoon No. 3 - YAGI 2024 – Phase 2	100%
34	347/2026/NQ-BHHC-BOD	25/5/2026	Resolution on Approval of bidding documents and contractor selection method for the package: Construction supervision consulting for remediation of landslide on operating road VH1 and flow diversion wall after Typhoon No. 3 - YAGI 2024 - Phase 2	100%
35	348/2026/NQ-BHHC-BOD	25/5/2026	Resolution on Approval of bidding documents and	100%

			contractor selection method for the package: Remediation of landslide on operating road VH1 and flow diversion wall after Typhoon No. 3 - YAGI 2024 - Phase 2	
36	353/2026/NQ-BHHC-BOD	26/5/2026	Resolution on Approval of contractor selection results for the package: Insurance for Bac Ha Hydropower Plant 2026	100%
June				
37	384/2026/NQ-BHHC-BOD	05/6/2026	Resolution on Approval of contractor selection results for the package: Review of semi-annual financial statements 2026 and audit of annual financial statements 2026	100%
38	407/2026/NQ-BHHC-BOD	15/6/2026	Decision on Approval of contractor selection results for package Remediation of landslide on operating road VH1 and flow diversion wall after Typhoon No. 3 - YAGI 2024 – Phase 2	100%
39	411 /2026/NQ-BHHC-BOD	16/6/2026	Resolution on Approval of technical proposal evaluation results for the package: Construction supervision consulting for xu remediation of landslide on operating road VH1 and flow diversion wall after Typhoon No. 3 - YAGI 2024 – Phase 2	100%
40	437/2026/NQ-BHHC-BOD	23/6/2026	Resolution on Approval of contractor selection results for the package: Construction supervision consulting for remediation of landslide on operating road VH1 and flow diversion wall after Typhoon No. 3 - YAGI 2024 – Phase 2	100%

III. Supervisory Board:

1. Information on Supervisory Board (SB) members:

No.	Supervisory Board Member	Position	Date of becoming/ceasing to be an SB member	Professional Qualifications
1	Mr. Pham Ngoc Tan	Head of Supervisory Board	Date ceasing to be a member SB 16/04/2026	Bachelor of Economics, Accounting
2	Ha Van Hieu	Head of Supervisory Board	Commenced 16/04/2026	Bachelor of Economics, Accounting
3	Mr. Nguyen Anh Dung	Member	Commenced 16/04/2026	Master of Economics, Bachelor of Finance and
4	Mr. Do Van Chinh	Member	Date ceasing to be a member SB 16/04/2026	Bachelor of Economics
5	Ha Tuan Hung	Member	Commenced 16/04/2026	Master of Corporate Finance

2. Supervisory Board Meetings:

STT	Supervisory Board Member	No. of Meetings Attended	Attendance Rate	Voting Rate	Reason for Non-attendance
1	Mr. Pham Ngoc Tan	1/2	50%	100%	Term expired on 16/4/2026
2	Mr. Do Van Chinh	1/2	50%	100%	Term expired on 16/4/2026
3	Mr. Ha Van Hieu	1/2	50%	100%	Term commenced on 16/4/2026
4	Mr. Nguyen Anh Dung	2/2	100%	100%	SB member re-elected from previous term
5	Mr. Ha Tuan Hung	1/2	50%	100%	Term commenced on 16/4/2026

3. Supervisory activities of the SB over the BOD, Executive Management and shareholders:

The Company's SB in the first half of 2026 carried out supervisory activities over the BOD and Executive Management in managing and operating the Company in compliance with the Law, the Company's Charter and Resolutions of the GMS; participated in BOD meetings as observers to monitor business operations and contributed opinions at meetings within the scope of the SB's responsibilities and authority.

4. Coordination between the SB and the activities of the BOD, Executive Management and other managers:

The SB also coordinated with members of the Executive Management to conduct inspections and directly evaluate the implementation of business plans based on

resolutions issued by the GMS and the BOD.

5. Other activities of the SB:

The Company's SB also participated in other Company activities such as team activities, emulation campaigns, business campaign reviews, solution-seeking programs, and team-building projects.

IV. Executive Management:

No.	Member Executive Management	Date of Birth	Qualifications	Appointment/Dismissal Date of Executive Management members
1	Mr. Nguyen Thanh Hung	13/04/1975	Master of Business Administration	Appointed on 16/4/2026
2	Mr. Nguyen Van Tinh	01/09/1983	Mechanical Engineering	Appointed on 28/7/2023
3	Mr. Ta Hong Dieu	26/04/1981	Bachelor of Economics	Appointed on 17/07/2024

V. Chief Accountant

Full Name	Date of Birth	Professional Qualifications	Date Appointment/ Dismissal
Ta Hong Dieu	26/04/1981	Bachelor of Economics	Appointed on 17/07/2024

VI. Corporate Governance Training:

Corporate governance training courses attended by BOD members, SB members, the General Director, other managers and the Company Secretary in accordance with corporate governance regulations Cong ty:

BOD members, SB members, the General Director, other managers and the Company Secretary have not yet attended any corporate governance training courses.

VII. List of Related Persons of the Company and Transactions of Related Persons with the Company:

1. List of related persons of the Company: Appendix I attached to this report
2. Transactions between the Company and related persons of the Company; or between the Company and major shareholders, internal persons, and related persons of internal persons: None
3. Transactions between internal persons of the listed company, related persons of internal persons with subsidiaries or companies controlled by the listed company: None
4. Transactions between the Company and other parties
 - 4.1. Transactions between the Company and companies where BOD members, SB members, the General Director have been or are founding members, BOD members, or the General Director the General Director within the past three (03) years (as of the report date): None
 - 4.2. Transactions between the Company and companies where related persons of BOD

members, SB members, General Director serve as BOD members or the General Director: None

4.3. Other transactions of the Company (if any) that may bring material or non-material benefits to BOD members, SB members, or the General Director: None

VIII. Share Transactions of Internal Persons and Related Persons of Internal Persons.

1. List of Internal Persons and Related Persons of Internal Persons: Appendix II attached to this report.
2. Transactions of internal persons and related persons involving shares of the listed Company: Appendix III attached to this report.

IX. Other Notable Issues: None

Distribution:

- As addressed ;
- Save A&H dept. 

**ON BEHALF OF THE BOARD OF
DIRECTORS
CHAIRMAN**



Dong Quoc Cuong



Appendix I

List of Related Persons of the Company

No.	Name of Organization/Individual	Securities Trading Account Securities Trading Account (if any)	Position at the company (if any)	ID Card/Passport/CCCD No., Date of Issue, Place of Issue	Head Office Address / Contact Address	Date start of becoming a related person	Date ceasing of ceasing to be a related person	Reason	Relationship with the Company
I	Board of Directors								
1	Dong Quoc Cuong		Chairman	036056003198 issued by Hanoi Police 08/05/2018	No. 01 Street Anh Dao, Area Vinhomes Riverside, Ward Phuc Loi, Ha Noi	Since 2012			Chairman
2	Phan Thanh Hai		Member	C2921675 issued by the Immigration Dept. 01/03/2017	P 212 Residential Complex Viet Xo Hospital, Thanh Luong, Ward Hai Ba Trung, Ha Noi	Since 2016			Member
3	Nguyen Canh Son		Member	027072000331 do Police Dept. of Administrative Management 17/08/2022	52 Ngo Thi Nham, Ward Hai Ba Trung, Ha Noi	Since 2016			Member
4	Nguyen Danh Quan		Member	001074012675 issued	Team 12, Nguyet Ang,	Since			Member

				by the Immigration Dept. 18/11/2018	Ward Ngoc Hoi, Ha Noi	2012			
5	Nguyen Thanh Hung		Member - General Director	001075018481 issued by the Dept. of Residence Registration and National Population Databasecu 08/05/2018	No. 22, Alley 180, Street Kim Hoa, Ward Van Mieu – Quoc Tu Giam, Ha Noi	Since 2019			Member - General Director
II Supervisory Board									
1	Pham Ngoc Tan		Head of Supervisory Board	033077001680 issued by the Police Dept. of Residence Registration 08/11/2016	Commune Dien Hong, Province Hung Yen	Since 2019	From 16/04/2026		Head of Supervisory Board
2	Nguyen Anh Dung		Member	031079006411 issued by the Police Dept. of Administrative Management 03/10/2018	Apartment U39.2.1505A Masteri West Heights o dat F5-CH02-2 Project New Urban Area Tay Mo - Dai Mo- Vinhomes Park, Ward Tay Mo, City Ha Noi	Since 2012			Member
3	Do Van Chinh		Member	036077000026 issued by the Dept. of Residence Registration and National Population Database 09/07/2018	TDP No. 15 Tan My, Ward Tu Liem, Ha Noi	Since 2021	From 16/04/2026		Member
4	Ha Van Hieu		Head of Supervisory Board	025072000392 Police Dept. of Administrative Management Date of	No. 08 Alley 86/20 Group 39 Hao Nam, O Cho Dua, Ha Noi	From 16/04/20 26			Head of Supervisory Board

				issue: 10/07/2021					
5	Ha Tuan Hung		Member	038090000032 Police Dept. of Administrative Management Date of issue: 28/06/2022	151A Nguyen Duc Canh, Ward Tuong Mai, City Ha Noi	From 16/04/20 26			Member
III	Executive Management								
1	Nguyen Thanh Hung		General Director	001075018481 issued by Dept. of Residence Registration and National Population Database 08/05/2018	No. 22, Alley 180, Street Kim Hoa, Ward Van Mieu – Quoc Tu Giam, Ha Noi	Since 2019			General Director
2	Nguyen Van Tinh		P.General Director	036083001415 issued by the Police Dept. of Administrative Management 16/11/2015	P205-CT2, Urban Area Tay Mo, Dai Mo, Nam Tu Liem, Ha Noi	Since 2023			P.General Director

Appendix II

List of Internal Persons and Related Persons of Internal Persons

No.	Stock code	Full Name	Position at the Company	Relationship with Internal Person	Type of ID (CCCD, Passport, Business Reg.)	ID Number	Date of Issue	Date of Appointment/Becoming Related Person	Notes
1	BHA	Mr. Dong Quoc Cuong	Chairman of the BOD		CCCD	036056003198	12/31/2021	2012	
1.1		Vu Thi Loan		Wife	CCCD	031183000036	11/30/2022	2012	
1.2		Dong Manh Duc		Child	Passport	P01111221	12/27/2022	2012	
1.3		Dong Yen Nhi		Child	Passport	P01767353	3/17/2023	2012	
1.4		Tran Thi Mui		Mother					Deceased
1.5		Dong Quoc Binh		Younger brother	CCCD	031062008987	4/24/2021	2012	
1.6		Dong Thi Thanh Huong		Younger sister	CCCD	036158018427	8/9/2021	2012	
1.7		Dong Thi Van Nga		Younger sister	CCCD	031161010091	5/10/2021	2012	
1.8		Vu La Tuong		Father-in-law	Passport	PB4490658	4/3/2020	2012	
1.9		Tran Thi Ly		Mother-in-law	CMND	281329254	6/4/2018	2012	
1.10		Bac Ha Investment JSC		General Director	Business Reg.	101393325	8/4/2020	2003	
1.11		Daksrong Hydropower JSC		Chairman of the BOD	Business Reg.	3903000029	12/12/2003	2003	
1.12		SOVICO Energy Quang Ngai One-Member LLC		BOD Member	Business Reg.	4300395941	8/12/2008	2008	

1.13		Thang Long Vietnam Urban and Housing Development Group JSC		General Director	Business Reg.	0104398723	4/27/2018	2025	
2	BHA	Mr. Phan Thanh Hai	Vice Chairman of the BOD		Passport	C2921675	3/1/2017	2016	
2.1		Phan Khanh Truong		Father	CCCD	001036001586	8/22/2022		
2.2		Kim Thi Phuong		Mother	CCCD	025147002957	8/22/2022		
2.3		Le Thi Bong		Mother-in-law	CCCD	079148006919	11/11/2022		
2.4		Vu Lan Phuong		wife	CCCD	001178034764	5/30/2023		
2.5		Phan Hong Son		Elder brother	CCCD	025069000009	6/26/2013		
2.6		Christine Nguyen		Sister-in-law	Passport	467122363	5/1/2010		
2.7		Phan Khoi Nguyen		Child	CCCD	001205003549	6/8/2022		
2.8		Phan The Dan		Child					Minor
2.9		Dong Anh Investment, Construction and Building Materials JSC		Chairman of the BOD	Business Reg.	0100106384	10/13/2021	17/04/2016	
2.10		LICOGI Corporation - JSC		Vice Chairman of the BOD cum General Director	Business Reg.	100106440	3/17/2022	22/12/2016	
3	BHA	Mr. Nguyen Canh Son	Member BOD		CCCD	027072000331	17/08/2022	2016	
3.1		Nguyen Canh Ha		Father	CCCD	030034004290	18/12/2021		
3.2		Pham Thi Loc		Mother	CCCD	031144000439	04/04/2025		
3.3		Nguyen Thi Ngoc Thanh		Mother-in-law	CCCD	01158046603	27/12/2022	2005	
3.4		Dang Van Cuc		Father-in-law	CCCD	051054015201	2/1/2023		
3.5		Dang Hoai Phuong		Wife	CCCD	011810234004	20/10/2021		
3.6		Nguyen Canh Duong		Child	CCCD	01207006465	2/5/2022		
3.7		Nguyen Canh Minh		Child	CCCD	01209054914	19/04/2023		

3.8		Nguyen Canh Khoi		Child	CCCD	01205000173	30/03/2023		
3.9		Nguyen Hanh Quyen		Child	Passport	P01338686	18/01/2023		
3.10		Sovico JSC	Deputy General Director		Business Reg.	0103028102			
3.11		Bac My An Resort JSC	BOD Member		Business Reg.	0400100866			
3.12		SOVICO Energy Quang Ngai One-Member LLC	Member HDTV		Business Reg.	4300395941			
4	BHA	Mr. Nguyen Danh Quan	Member BOD		CCCD	1074012675	11/18/2016	2012	
4.1		Nguyen Danh Truy		Father	CCCD	001029000476	3/26/21		
4.2		Nguyen Thi To		Mother					Deceased
4.3		Tran Anh Tuan		Father-in-law	CMND	1171007997	7/3/2009		
4.4		Trinh Thi Luu		Mother-in-law	CMND	42045000176	4/15/2009		
4.5		Tran Thi Minh		Wife	CCCD	038174000159	10/12/2015		
4.6		Nguyen Danh Vuong		Son	CCCD	001201005822	10/2/2015		
4.7		Nguyen Phuong Nguyen		Daughter	HC	C2162018	11/9/2016		
4.8		Nguyen Thi Viet		Elder sister	CCCD	001154010461	4/25/2021		
4.9		Nguyen Danh Quoc		Elder brother	CCCD	001057030531	7/10/2022		
4.10		Nguyen Thi Hang		Elder sister	CCCD	001161010311	11/22/2021		
4.11		Nguyen Thi An Ninh		Elder sister	CCCD	001163017966	8/7/2023		
4.12		Nguyen Ngoc Anh		Elder sister			(Residing in the USA)		
4.13		Nguyen Thi Hong Ha		Elder sister	CCCD	001171007997	5/10/2021		
4.14		Pham Khoi		Brother-in-law	CCCD	042045000176	5/7/2021		

4.15		Dam Thi Tam		Sister-in-law	CCCD	079162003487	3/13/2022		
4.16		Nguyen Tien The		Brother-in-law	CCCD	035055000548	12/17/2021		
4.17		Phan Trong Thuan		Brother-in-law	CCCD	001059020088	12/19/2022		
4.18		Nguyen Thanh Tuyen		Brother-in-law			(Residing in the USA)		
4.19		Nguyen Dinh Dien		Brother-in-law	CCCD	001061006344	5/4/2021		
4.20		LICOGI 10 JSC		Chairman of the BOD	Business Reg.	0400463323	6/2/2016		
4.21		LICOGI 20 JSC		Chairman of the BOD	Business Reg.	0106707945	9/13/2013		
4.22		Bac Ha Group JSC		BOD Member	Business Reg.	5300240587	8/20/2019		
4.23		LICOGI General Import-Export One-Member LLC		Chairman of Members' Council	Business Reg.	0110315605	4/10/2023		
4.24		LICOGI Corporation - JSC		BOD Member	Business Reg.	0100106440	3/17/2022		
4.25		LICOGI Dong Anh Mechanical JSC		Vice Chairman of the BOD	Business Reg.	0100106391	8/10/2020		
5	BHA	Mr. Nguyen Thanh Hung	Member BOD, General Director		CCCD	001075018481	5/8/2018	8/16/2019	
5.1		Ho Thi Hien		Wife	CCCD	030173001987	2/9/2022		
5.2		Nguyen Ho Tuan		Child	CCCD	001206003292	10/13/2020		
5.3		Nguyen Tram Anh		Child	CCCD	001311064799	8/9/2024		
5.4		Nguyen Manh Ha							Deceased
5.5		Le Thi Vuong		Mother	CCCD	001151002375	4/3/2021		
5.6		Nguyen Thi Hong Le		Elder sister	CCCD	027172000139	6/6/2016		
5.7		Le The Cuong		Brother-in-law	CCCD	026072001005	6/6/2016		
5.8		Ho Dien		Father-in-law	CCCD	040044004577	12/18/2021		
5.9		Doan Thi Chau		Mother-in-law	CCCD	040145000057	12/8/2021		

5.10		Bac Ha Investment JSC		BOD Assistant	Business Reg.	101393325	8/4/2020	2003	
6	BHA	Mr. Pham Ngoc Tan	Head of the Supervisory Board		CCCD	033077001680	11/22/2021	Tu 2019 to 16/4/2026	
6.1		Nguyen Thi Phuong Lien		Wife	CCCD	025182000361	6/24/2021		
6.2		Pham Ngoc Kien		Child	CCCD	01207094115	8/12/2021		
6.3		Pham Ngoc Tai		Father	CCCD	033053002729	4/16/2021		
6.4		Hoang Thi Thu		Mother	CCCD	033153000574	8/25/2022		
6.5		Pham Thi Thuy		Younger sister	CCCD	033179004914	3/27/2020		
6.6		Nguyen Anh Hoa		Brother-in-law	CCCD	033080000396	6/8/2015		
6.7		Pham Ngoc The		Em Trai	CCCD	033081001354	5/6/2016		
7	BHA	Mr. Do Van Chinh	Supervisory Board Member		CCCD	036077000026	7/9/2018	2021 to 16/4/2026	
7.1		Do Ngoc Luu		Father					Deceased
7.2		Nguyen Thi Hat		Mother	CCCD	036146080431	9/10/2024		
7.3		Lang Thi Hanh		Wife	CCCD	026184000016	5/7/2022		
7.4		Do Thi Luyen		Elder sister	CCCD	036171016311	8/13/2021		
7.5		Nguyen Tien Trang		Brother-in-law	CCCD	036065011684	6/3/2021		
7.6		Do Van Nghia		Younger brother	CCCD	036079011438	4/16/2021		
7.7		Nguyen Thi Thanh Nga		Sister-in-law	CCCD	034184014101	4/16/2021		
7.8		Do Van Chuyen		Younger brother	CCCD	036081019619	7/2/2021		
7.9		Le Thi Hang		Sister-in-law	CCCD	036184002531	5/7/2021		
7.10		Do The Truyen		Younger brother	CCCD	036085003656	9/5/2016		
7.11		Dang Thi Hong Van		Sister-in-law	CCCD	070193000328	3/25/2021		
7.12		Do Ngan Khanh		Child					Minor
7.13		Do Khanh Chi		Child					Minor
7.14		Do Minh Dung		Child					Minor

7.15		Do Khanh Phuong		Child					Minor
7.16		Bac Ha Investment JSC		Deputy Head of Finance-Accounting Dept.	Business Reg.	101393325	8/4/2020	01/10/2018	
7.17		Thang Long Vietnam Urban and Housing Development Group JSC		Deputy General Director	Business Reg.	0104398723	4/27/2018	10/1/2018	
8	BHA	Nguyen Anh Dung	Supervisory Board Member		CCCD	031079006411	10/23/2018	2011	
8.1		Nguyen Danh Tam		Father	CCCD	040050000098	10/20/2015		
8.2		Trinh Thi Ngoc		Mother	CCCD	040155000153	11/24/2022		
8.3		Tran Van Ngoc		Father-in-law	CCCD	040042001135	5/10/2021		
8.4		Dinh Thi Nhung		Mother-in-law	CCCD	042151001655	5/10/2021		
8.5		Nguyen Danh Tuan		Elder brother					Deceased
8.6		Pham Thi Lieu		Sister-in-law	CMND	031188014435	8/13/2021		
8.7		Nguyen Thuy Nguyet		Younger sister	CMND	031182023857	1/11/2022		
8.8		Tran Thanh Nga		Wife	CCCD	01185011093	5/17/2016		
8.9		Nguyen Tam Anh		Child					Minor
8.10		Nguyen Khanh Quan		Child					Minor
8.11		Licogi 19 JSC		BOD Member	Business Reg.	0100106419	01/16/2023	T4/2016	
8.12		Licogi 14 JSC		BOD Member	Business Reg.	2600106234	5/23/2023	T4/2023	
8.13		LICOGI 20 JSC		Head of the Supervisory Board	Business Reg.	0106707945	9/13/2013	T7/2022	
8.14		Dong Anh Mechanical JSC		Head of the Supervisory Board	Business Reg.	0100106391	10/08/2020	T3/2019	
8.15		LICOGI Corporation - JSC		Deputy General Director	Business Reg.	0100106440	3/17/2022	T3/2023	

8.16		LICOGI Consulting JSC		Chairman	Business Reg.	0104467649	5/19/2026	T5/2023	
9	BHA	Ha Van Hieu	Head of Supervisory Board SB		CCCD	025072000392	10/07/2021	16/4/2026	
9.1		Ha Van Do		Father	CCCD	025048002720	10/11/2022		
9.2		Phi Thi Van		Mother	CCCD	025151005603	16/08/2021		
9.3		Nguyen Van Ngu		Father-in-law	CCCD	040048003644	28/06/2021		
9.4		Nguyen Thi Ha		Mother-in-law	CCCD	040148008833	16/07/2024		
9.5		Nguyen Thi Thuy		Wife	CCCD	040173000313	15/05/2024		
9.6		Ha Linh Chi		Child	CCCD	022199000008	15/03/2024		
9.7		Ha Minh Nhat		Child	CCCD	022203002823	31/05/2021		
9.8		Ha Duc Hoan		Younger brother	CCCD	026076008130	22/11/2021		
9.9		Ha Thi Hien		Younger sister	CCCD	026174010989	15/09/2022		
9.10		Bac Ha Investment JSC		Chief Accountant	Business Reg.	0101393325			
9.11		Thien Thai Hotel and Tourism JSC		Chairman of the BOD	Business Reg.	0400488335			
10	BHA	Ha Tuan Hung	Supervisory Board Member		CCCD	038090000032	28/06/2022	16/04/2026	
10.1		Ha Dinh Hieu		Father	CCCD	038063006735	24/10/2023		
10.2		Le Thi Binh		Mother	CCCD	038168007148	28/06/2021		
10.3		Nguyen Hong Thanh		Father-in-law	CCCD	038067003604	12/07/2021		

10.4		Do Thi Yen		Mother-in-law	CCCD	038170009605	24/06/2022		
10.5		Nguyen Quynh Trang		Wife	CCCD	038190024373	27/12/2021		
10.6		Ha Ngoc Anh Van		Child	CCCD	001318039379	16/08/2024		
10.7		Ha Tuan Hiep		Younger brother	CCCD	038094017554	28/08/2021		
10.8		Tran Thi Thoa		Sister-in-law	CCCD	038193022590	19/08/2021		
10.9		Ho Guom Tourism JSC		Chief Accountant	Business Reg.	0100107028	16/09/2025		
10.10		BHV Investment JSC		Chief Accountant	Business Reg.	0110463240	21/04/2026		
10.11		THT Trading and Investment LLC		Chief Accountant	Business Reg.	0101670843	11/12/2024		
11	BHA	Mr. Nguyen Van Tinh	Deputy General Director		CCCD	036083001415	4/29/2021	8/1/2023	
11.1		Nguyen Van Thanh		Father	CCCD	036045005374	12/22/2021		
11.2		Nguyen Thi Lan		Mother	CCCD	036044004957	7/9/2021		
11.3		Pham Van Minh		Father-in-law	CCCD	036057017847	8/20/2021		
11.4		Dao Thi Hoa		Mother-in-law	CCCD	036160023963	5/10/2021		
11.5		Nguyen Van Cong		Elder brother	CCCD	036064021750	7/2/2021		
11.6		Nguyen Thi Nguyet		Sister-in-law	CCCD	095161007096	8/9/2021		
11.7		Nguyen Thi Bui		Elder sister	CCCD	036177011571	5/10/2021		
11.8		Nguyen Van Dinh		Brother-in-law	CCCD	036080011049	3/15/2021		
11.9		Nguyen Thi Huong		Younger sister	CCCD	036188006762	12/22/2021		
11.10		Vu Van Cau		Brother-in-law	CCCD	036083013574	5/18/2020		
11.11		Nguyen Thi Phuong		Younger sister	CCCD	036185020605	7/3/2022		
11.12		Pham Ngoc Duan		Brother-in-law	CCCD	038081022709	7/3/2022		

11.13		Pham Thi Phuong		Wife	CCCD	036185001167	4/29/2021		
11.14		Nguyen Thu Huyen		Child					Minor
11.15		Nguyen Quang Anh		Child					Minor
12	BHA	Mr. Ta Hong Dieu	Acting Chief Accountant		CCCD	037081014692	7/10/2021	11/2/2016	
12.1		Do Thi Thanh Nga		Wife	CCCD	013529808	4/26/2012		
12.2		Ta Do Nam		Son	CCCD	01311007025	3/20/2023		
12.3		Ta Thanh Loan		Daughter					Minor
13	BHA	Mr. Nguyen Van Ha	Company Secretary. Internal Governance Officer Company		CCCD	121524744	8/16/2013	4/22/2021	
13.1		Nguyen Van Dong		Father	CCCD	024058002042	5/1/2021		
13.2		Pham Thi Tan		Mother	CCCD	024159009902	12/17/2021		
13.3		Dinh Van Que		Father-in-law					Deceased
13.4		Pham Thi Chung		Mother-in-law	CCCD	008150000221	3/29/2021		
13.5		Nguyen Thi Phuong		Elder sister	CCCD	024180007616	12/17/2021		
13.6		Nguyen Van Manh		Brother-in-law	CCCD	024078015516	8/9/2021		
13.7		Nguyen Thi Huong		Elder sister	CCCD	024182011687	9/6/2021		
13.8		Hoang Danh Chung		Brother-in-law	CCCD	024079008880	9/6/2021		
13.9		Nguyen Thi Nam		Younger sister	CCCD	024187008172	5/15/2022		
13.10		Nguyen Van Giang		Brother-in-law	CCCD	024080010483	6/1/2021		
13.11		Dinh Thi Hanh		Wife	CCCD	008185003742	5/1/2021		
13.12		Nguyen Hong Quan		Child	CCCD	24212010829	8/19/2024		
13.13		Nguyen Thi Mai Linh		Child	CCCD	24316005464	8/24/2024		
13.14		Nguyen Hong Dang		Child	CCCD	24219021389	8/13/2024		

Appendix III

Transactions of Internal Persons and Related Persons Involving Company Shares

Stt	Person Conducting Transaction	Relationship with Internal Person	Shares Held at Beginning of Period		Shares Held at End of Period		Reason for Increase/Decrease (purchase, sale, conversion, bonus...)
			No. of Shares	Ratio (%)	No. of Shares	Ratio (%)	